

**In the Matter of
*The Securities Act, 1988***

and

**In the Matter of
Ronald James Aitkens, also known as Ron Aitkens,
1252064 Alberta Ltd.,
1330075 Alberta Ltd.,
Harvest Capital Management Inc.
and
Harvest Group GP Corporation**

Sanctions Order

WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS the Hearing Panel held a hearing on March 12, 2019 into the allegations and claims against Ronald James Aitkens, also known as Ron Aitkens (Aitkens), 1252064 Alberta Ltd., 1330075 Alberta Ltd., Harvest Capital Management Inc., and Harvest Group GP Corporation (collectively, the Respondents) as set out in the amended Statement of Allegations of Staff of the Authority dated February 10, 2016;

AND WHEREAS the Hearing Panel issued a decision dated June 19, 2019 (the Decision);

AND WHEREAS this Order reflects the operative provisions of the Decision;

IT IS HEREBY ORDERED THAT:

1. Pursuant to clause 134(1)(a) of *The Securities Act, 1988* (the Act), the Respondents shall be permanently banned from utilizing any and all exemptions in Saskatchewan securities laws;
2. Pursuant to clause 134(1)(d) of the Act, the Respondents shall be permanently banned from trading in securities or derivatives in Saskatchewan;
3. Pursuant to clause 134(1)(d.1) of the Act, the Respondents shall be permanently banned from acquiring securities or derivatives for and on behalf of residents of Saskatchewan;
4. Pursuant to clause 134(1)(h)(i) of the Act, Aitkens shall resign any position that he holds as a director or officer of any issuer, registrant or investment fund manager;

5. Pursuant to clause 134(1)(h)(ii) of the Act, Aitkens shall be permanently banned from becoming or acting as a director or officer of any issuer, registrant or investment fund manager;
6. Pursuant to clause 134(1)(h)(iii) of the Act, Aitkens shall be permanently banned from being employed by any issuer, registrant or investment fund manager;
7. Pursuant to clause 134(1)(h.1) of the Act, Aitkens shall be permanently banned from becoming or acting as a registrant, an investment fund manager or a promoter;
8. Pursuant to section 135.1 of the Act, each of the named Respondents shall pay an administrative penalty of \$100,000;
9. Pursuant to section 161 of the Act, the Respondents shall pay the costs of or relating to the hearing in this matter in the sum of \$30,319.51, for which they shall be jointly and severally liable; and
10. If the Director files a request for financial compensation pursuant to section 135.6 of the Act, a separate hearing will be held to deal with the issue of financial compensation.

DATED at Regina, Saskatchewan on Thursday, June 27, 2019.

“Peter Carton”

Peter Carton

Chairperson of the Hearing Panel

Financial and Consumer Affairs Authority of
Saskatchewan